

3

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baerveldt, et al. ) Group Art Unit: 3761  
App. No. : 10/052,473 )  
Filed : January 18, 2002 )  
For : MINIMALLY INVASIVE )  
GLAUCOMA SURGICAL )  
INSTRUMENT AND METHOD )  
Examiner : Unknown )

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
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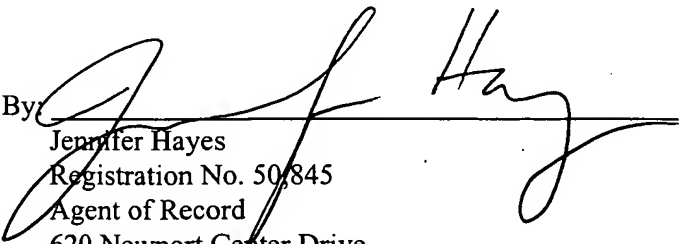
Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/23/02

By:   
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3761  
PATENTCase Docket No. UCI002.001A  
Date: April 23, 2002

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Jennifer Hayes, Reg. No. 50,845

## TRANSMITTAL LETTER

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ATTENTION: APPLICATION BRANCH

Dear Sir:

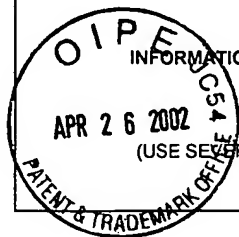
Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with 5 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Jennifer Hayes  
Registration No. 50,845  
Agent of Record

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
UCI002.001AAPPLICATION NO.  
10/052,473INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

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(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Baerveldt, et al.FILING DATE  
January 18, 2002GROUP  
3761

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TECHNOLOGY CENTER 80700

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	5,958,266	9/28/99	Fugo, et al.			
	2.	6,352,535	3/5/02	Lewis, et al.			
	3.	6,135,998	10/24/00	Palanker			
	4.	5,486,165	1/23/96	Stegmann			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	5.	0898947	3/3/99	European Patent Office				X

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


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EXAMINER

DATE CONSIDERED

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